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## Research Paper / Makale

# **Examining the Effect of Dimension Reduction on EEG Signals by K-Nearest Neighbors Algorithm**

Duygu Kaya<sup>1\*</sup>, Mustafa Türk<sup>2</sup>, Turgay Kaya<sup>3</sup>

<sup>1,2,3</sup> Firat University, Faculty of Engineering, Department of Electrical and Electronics Engineering, Elazig, Turkey dgur@firat.edu.tr, mturk@firat.edu.tr, tkaya@firat.edu.tr,

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Abstract: Machine learning which a paradigm of methods that makes inferences from existing data using mathematical and statistical methods and is inferred to be unknown. The proposed method in this paper, supervised learning algorithm is applied to EEG (electroencephalography) data and classification algorithm performance is analyzed and results are examined in MATLAB. K-Nearest Neighbors Algorithm (k-NN) is used in this paper as algorithm. This classification was evaluated in two stages, with and without Principal Component Analysis (PCA). Dimension reduction is the process of reducing the size of dimension of the data. By reducing the size of the data set with PCA, it is expected to protect important data features. KNN has given results that can be regarded as prudent in terms of classification accuracy. The results of the present work showed that appropriate features combined with classifier can be done significant classification for different bioelectrical signal.

Keywords: Supervised learning algorithms; k-nearest neighbors algorithm (kNN); Principal Component Analysis (PCA); Dimension reduction; EEG

# En Yakın Komşu Algoritması Kullanılarak EEG Sinyallerine Boyut Azaltmanın Etkilerinin İncelenmesi

Öz: Makine öğrenmesi, var olan verilerin çıkarımlarını matematiksel ve istatistiksel yöntemlerle yapan ve yöntem paradigmasıdır. Bu çalışmada, denetimli öğrenme algoritması, (elektroensefalografi) verilerine uygulanmış, sınıflandırma algoritması performans analiz sonuçları MATLAB ile incelenmiştir. Bu çalışmada, algoritma olarak en yakın komşu algoritması (k-NN) kullanılmıştır. Bu sınıflandırma, Temel Bilesen Analizinin (TBA) kullanıldığı ve kullanılmadığı durumlar için iki asamada değerlendirilmiştir. Boyut azaltma, verilerin boyut boyutunu küçültme işlemidir. TBA ile veri kümesinin boyutunun azaltılarak, önemli veri özelliklerini korunması beklenir. KNN, sınıflandırma doğruluğu açısından önemli sayılabilecek sonuçlar vermiştir. Mevcut çalışma, farklı biyoelektriksel sinyaller için uygun özelliklerin uygun bir sınıflandırıcı ile kombine edildiğinde anlamlı bir sınıflandırma yapılabileceğini göstermiştir.

Anahtar kelimeler: Denetimli öğrenme algoritması; En yakın komşu algoritması (kNN); Temel Bileşen Analizi; Boyut Azaltma; EEG

### 1. Introduction

The brain is the center of the nervous system, which insures body control and regulation of the activities, interprets sensory orders and is transmitted to the relevant muscles and organs. During the

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brain activity, both continuous rhythmic electrical potentials and receptor activity caused by electrical potentials occurs. The recording process of this electrical potential is called Electroencephalography (EEG). EEG signals can provide information on the neurological diseases [1-4], so that are used diagnostic indicator to investigate physiological conditions [5]. People who suffer from epilepsy to decide whether or not based on observation with long-term experience [6,7]. This process will take a lot of time in order to facilitate the interpretation of the signals. Also, the frequency spectrum of EEG signals contains important information for the interpretation of the signal. For classifying EEG signals, there are Neural Networks based works and machine learning algorithms in the literature [8-16]. The aim of study is to examine the effect of dimension reduction algorithms on EEG data to be classified by KNN.

### 2. Material and Method

Machine learning constitutes another branch of artificial neural networks and aims to identify unknown samples by learning from known sample. It is examined in two main topics as supervised learning algorithms and unsupervised learning algorithms. Each algorithm has its advantages and disadvantages in the way it is used [11-18]. Principle shame of supervised learning algorithm is shown in Figure 1. Data is firstly separated into to train and test sets, algorithm is chosen, data is tested and finally results are evaluated.

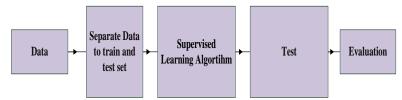


Figure 1. Principle shame of supervised learning algorithm

Dimension reduction is the process of reducing the size of dimension of the data. By reducing the size of the data set with dimension reduction algorithm, it is expected to protect important data features. Dimension reduction is an application for identifying and disposing of attributes that are unnecessary, while preserving the properties of the given data [19-21]. PCA is the most used method for dimension reduction as linear [24]. Results of PCA, actual size is determined. With PCA dimension is reduced, but there is no data loss. Principle shame of dimension reduction algorithm is shown in Figure 2.

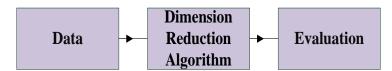


Figure 2. Principle shame of dimension reduction algorithm

There have been kinds of supervised learning algorithms, but in this study we use k-Nearest Neighbors Algorithm (k-NN) because of that's popularity in literature. K-NN is from the extracted characteristics, the closeness of the new individual desired to be categorized to k of the previous individuals is examined. The aim is to classify the existing learning data when a new instance arrives. When a new sample arrives, it looks at its nearest neighbor and decides for instance the class.

If we want to look at k number of samples for a new classifier, the closest k is taken from the previously classified elements. This distance, Euclidean, Manhattan, Chebyshev, Minkowski et al. distance formulas are calculated and the euclidean distance is usually used for real valued input variables. d (p, q) denoting the distance between two points [p,q],

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$$d_{\bar{o}klid}(p,q) = \sqrt{\sum_{i=1}^{n} (p_i - q_i)^2}$$
 (1)

$$d_{Manhattan}(p,q) = \sum_{i=1}^{n} |p_i - q_i|$$
 (2)

$$d_{Chebyshev}(p,q) = \max_{i}(|p_i - q_i|)$$
(3)

$$d_{Minkowski}(p,q) = \sqrt[m]{\sum_{i=1}^{n} |p_i - q_i|^m}$$
(4)

is expressed.

The distance of the test data to all learning samples is calculated, sorted by minimum distance, the class values to which the new sample belongs, and the dominant class is selected [22,23].

## 3. An Application

Data is evaluated by supervised machine learning algorithms. KNN is used to analyze the data. This work composes of two stages. Classification was done without PCA, later with PCA. The effects of PCA on the KNN algorithm have been investigated and the effectiveness of the k-NN algorithm in classification for the data used has been investigated.

Firstly, Confusion matrix and ROC curve are used to analyze classification accuracy. Confusion matrix shows training, validation and test results. Percentage error refers to the not classification of the sample rate. For ROC curve, the best diagnostic test, closer the area to the underground area (AUC-Area Under Curve) 1 is, the better the classification is [24].

For confusion matrix, as shown in Table 1, PCA is quite effective for classification. %87.5 and %81.3 success are achieved with PCA and without PCA, respectively. For ROC curve, as shown in Table 2 PCA is quite effective for this. 0.88 and 0.81 success are achieved with PCA and without PCA, respectively.

Likewise, the performance of this model can be assessed by taking into account the number of samples assigned to correct and incorrect classifications. In this study, accuracy, precision, sensitivity and error rate for model performance are discussed. The TP (true positive), FP (false positive), FN (false negative), TN (true negative) values are specified for the calculation. Accuracy, precision, sensitivity and error rate were evaluated to evaluate the performance of the supervised machine algorithm (Table 3).

Table 1: Confusion matrix results

With PCA (%)

KNN	87.5	81.3		
	Table 2: ROC Curve results			
	With PCA	Without PCA		
KNN	0.88	0.81		

$$Accuracy = \frac{TP + TN}{TP + TN + FN + FP} \tag{5}$$

Without PCA (%)

$$Precision = \frac{TP}{TP + FP} \tag{6}$$

$$Sensitivity = \frac{TP}{TP + FN} \tag{7}$$

$$Error rate = \frac{FP+FN}{TP+TN+FN+FP}$$
 (8)

Table 3: KNN Evaluation

Accuracy		
With PCA(%)	Without PCA(%)	
% 87.5	% 81.25	
Precision	L	
With PCA(%)	Without PCA(%)	
% 87.5	% 100	
Sensitivity	y	
With PCA(%)	Without PCA(%)	
% 87.8	% 72	
Error Rat	e	
With PCA(%)	Without PCA(%)	
% 12.5	% 18.75	
	With PCA(%)  % 87.5  Precision With PCA(%)  % 87.5  Sensitivity With PCA(%)  % 87.8  Error Rat With PCA(%)	

#### 4. Discussion

The proposed method in this paper, K-Nearest Neighbors Algorithm (k-NN), which is one of the supervised learning algorithms, is applied to EEG data. The data used for the study were taken from the database of Bonn University [25]. This classification was evaluated in two stages, with and without Principal Component Analysis (PCA). KNN has given results that can be regarded as prudent in terms of classification accuracy. The results of the present work showed that appropriate features combined with classifier can be done significant classification. The PCA has increased the classification percentage by reducing the size of the data while preserving features that can be considered important. Thus, it is thought that it will contribute considerably to the classification of complex data with a very large size.

With reference to this study, we can examine the advantages and disadvantages of each other by using supervised (SVM, kNN) and unsupervised (k-means) classification algorithms in LabVIEW in future studies.

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